

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

PU3556USW

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**09/830037**

INTERNATIONAL APPLICATION NO

**PCT/G899/03472**

INTERNATIONAL FILING DATE

**20 October 1999**

PRIORITY DATE CLAIMED

**22 October 1998**

TITLE OF INVENTION

**FLUTICASONE LOTION HAVING IMPROVED VASOCONSTRICTOR ACTIVITY**

APPLICANT(S) FOR DO/EO/US

**Gordon J. DOW; Keith Arthur JOHNSON; Frances Furr KELLY; Robert William LATHROP;  
Rukmini RAJAGOPALAN**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau)
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired
  - d. ☒ have not been made and will not be made
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 35 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409)
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

**Copy of PCT Request (Form PCT/RO/101)****Copy of PCT Publication cover****Copy of Correction to PCT Request before 30th Month**

U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR <b>09/830037</b> )		INTERNATIONAL APPLICATION NO. <b>PCT/GB99/03472</b>		ATTORNEY'S DOCKET NUMBER <b>PU3556USW</b>	
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24. The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>				<b>CALCULATIONS    PTO USE ONLY</b>	
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b> <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$860.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				<b>\$0.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	24 - 20 =	4	x \$18.00	<b>\$72.00</b>	
Independent claims	4 - 3 =	1	x \$80.00	<b>\$80.00</b>	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,012.00</b>	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,012.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,012.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,012.00</b>	
				Amount to be refunded	\$
				charged	\$

a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed

b. ☒ Please charge my Deposit Account No. 07-1392 in the amount of \$1,012.00 to cover the above fees. A duplicate copy of this sheet is enclosed

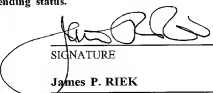
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1392 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

David J. Levy, VP  
GlaxoSmithKline  
Corporate Intellectual Property Dept.  
Five Moore Drive, PO Box 13398  
Research Triangle Park, NC 27709  
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Fax: 919-483-7988

  
SIGNATURE

**James P. RIEK**  
NAME

**39,009**  
REGISTRATION NUMBER

**April 20 2001**  
DATE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gordon J. DOW, et al  
International Application No.: PCT/GB99/03472  
International Filing Date: 20 October 1999  
Title: FLUTICASON LOTION HAVING IMPROVED VASOCONSTRICTOR  
ACTIVITY

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Commissioner of Patents  
Washington, D.C. 20231

## FIRST PRELIMINARY AMENDMENT

Dear Sir:

The above identified application is being transmitted herewith for entry in the US National Phase under Chapter II of the PCT for the purpose of adding the priority information. Please amend the application as follows:

In the Abstract:

Please substitute the attached Abstract, which has been placed on a separate sheet of paper according to US practice, as required under 37 CFR 1.72(b)

In the Specification:

On the first line of the specification, after the Title, please add:

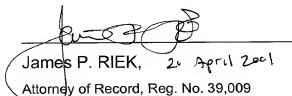
--This application is filed pursuant to 35 U.S.C. §371 as a United States National Phase Application of International Application No. **PCT/GB99/03472** filed **20 October 1999**, which claims priority from **GB9823036.0** filed **22 October 1998**.--

REMARKS

Applicants have attached an abstract on a separate sheet of paper as required by US practice. Applicants have amended the specification for purposes of adding the priority information. It is respectfully submitted that

the present application is in condition for allowance. An early consideration and notice of allowance are earnestly solicited.

Respectfully submitted;



James P. RIEK, 24 April 2001

Attorney of Record, Reg. No. 39,009

GlaxoSmithKline

Corporate Intellectual Property Department

Five Moore Drive, PO Box 13398

Research Triangle Park, NC 27709

Telephone: 919-483-1240/Fax: 919-483-7988

100240 20010424

## ABSTRACT

A fluticasone lotion having improved vasoconstrictor and anti-inflammatory activity and higher than expected potency. The fluticasone lotion contains 0.05 weight percent fluticasone propionate and an oil-in-water vehicle that includes excipients. The fluticasone lotion is unexpectedly efficacious while exhibiting an improved safety profile.

Via Facsimile

TO:  
PCT Examination  
International Bureau of WIPO  
34 Chimin des Colombettes  
1211 Geneva 20  
Switzerland

## Correction to PCT Request before 30th Month

Fax: 011 41 22 740 1435

Applicant's File Reference

**PU3556WO**

International Application No.

**PCT/GB99/03472**

30th Month Deadline: **22 April 2001**

Applicant

**Glaxo Group Limited**

International Filing Date:

**20 October 1999**

Title: **Fluticasone Lotion Having Improved  
Vasoconstrictor Activity**

### Correction:

Please make the following correction to PCT Request PCT/GB99/03472 filed on 20 October 1999.

-Please change address of inventors/applicants: Keith Arthur JOHNSON; Frances Furr KELLY; Robert William LATHROP and Rukmini RAJAGOPALAN to:

GlaxoSmithKline  
c/o Corporate Intellectual Property Department  
Five Moore Drive  
PO Box 13398  
Research Triangle Park, NC 27709

Please acknowledge receipt of this request by return fax to (919) 483-7988 in the United States.  
If there should be questions, please call (919) 483-2252.

Thank you.

Sincerely,



Christopher P. Rogers  
Attorney for Applicant

FLUTICASONE LOTION HAVING IMPROVED VASOCONSTRICTOR  
ACTIVITY

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FIELD OF THE INVENTION

The present invention is generally directed to a lotion comprising fluticasone.

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BACKGROUND OF THE INVENTION

Fluticasone propionate is a steroid having anti-inflammatory, anti-pruritic, and vasoconstrictive properties. Fluticasone propionate cream (0.05%) is sold under the tradename CUTIVATE® cream. Each gram of CUTIVATE® cream (0.05%) contains 0.5 mg fluticasone propionate in a base of propylene glycol, mineral oil, cetostearyl alcohol, ceteth-20, isopropyl myristate, buffers and preservatives.

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Mineral oil is a known occlusive agent. Occlusion in topical drug delivery is known to increase the vasoconstrictor potency of the topical steroid. By increasing the vasoconstrictor potency, the effectiveness of the steroid is increased. However, occlusive agents such as mineral oil can reduce the aesthetic appeal of topical formulations as they may impart an undesirable oily feel to the skin. By removing or significantly reducing the concentration of the occlusive agent, a decrease in the vasoconstrictor potency of the steroid would be expected. Thus, the effectiveness of the topical steroid formulation would be decreased.

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The present fluticasone lotion invention unexpectedly shows increased vasoconstrictor potency of fluticasone at decreased concentrations of occlusive agent, thus increasing the steroid effectiveness. The instant fluticasone lotion also significantly improves the organoleptic feel and spreadability of the drug over a large area as compared to a cream. Specifically, the instant fluticasone lotion has improved vasoconstrictor activity over fluticasone cream formulations. The fluticasone lotion is systemically safe and exhibits significant vasoconstrictor potency and efficacy and excellent anti-inflammatory activity.

## SUMMARY OF THE INVENTION

One aspect of the invention is a topical lotion comprising about 0.005 to 1.0 wt.% fluticasone, or a pharmaceutically acceptable salt or ester thereof; a thickening effective concentration of at least one thickener; a conditioning effective concentration of at least one skin conditioning agent; and, an emulsifying effective amount of a surfactant. Unless indicated otherwise herein, all percentages are in terms of weight percent (i.e., w/w, wt.%, etc.). Unless indicated otherwise herein, the term "about" is intended to include values, e.g., weight percents, proximate to the recited range that are equivalent in terms of the functionality of the individual ingredient, the composition or the invention. In addition, unless indicated otherwise herein, a recited range (e.g., weight percents or carbon groups) includes each specific value or identity within the range.

Another aspect of the present invention is a topical fluticasone lotion for the treatment of skin conditions (i.e., dermatological disorders). The lotion comprises about 0.005 to 1.0 wt.% fluticasone, or a pharmaceutically acceptable salt or ester thereof; about 1.0 to 10.0 wt.% of a C<sub>14</sub>-C<sub>20</sub> fatty alcohol, or mixtures thereof; about 1.0 to 5.0 wt.% of at least one skin conditioning agent; about 5.0 to 15.0 wt.% of propylene glycol; up to about 10.0 wt.% mineral oil or soft white paraffin, and the balance being water. The lotion optionally contains additives such as preservatives and buffers.

Another aspect of the invention is a topical fluticasone lotion comprising fluticasone propionate in an amount of from about 0.005 to 1.0 wt.%; a C<sub>14</sub>-C<sub>20</sub> fatty alcohol, or mixtures thereof, in an amount of from about 3.0 to 7.0 wt.%; at least one skin conditioning agent in an amount of from about 0.5 to 3.0 wt.%; at least one surfactant in an amount of about 0.25 to 3.0 wt.%; propylene glycol in an amount of from about 7.0 to 12.0 wt.%; up to about 10 wt.% mineral oil or soft white paraffin; and the balance in water, preferably purified water, USP.

Yet another aspect of the invention is a method of treating a skin condition. A skin condition (or dermatological disorder) includes, but is not limited to, corticosteroid-responsive dermatosis, atopic dermatitis, inflammation, eczema, erythema, papulation, scaling, erosion, oozing, crusting and pruritis. The method comprises the



steps or acts of providing a lotion including about 0.005 to 1.0 wt.% fluticasone, or a pharmaceutically acceptable salt or ester thereof; about 1.0 to 10.0 wt.% of a C<sub>14</sub>-C<sub>20</sub> fatty alcohol or mixtures thereof; about 1.0 to 5.0 wt.% of one or more skin conditioning agents; about 5.0 to 15.0 wt.% of propylene glycol; up to about 10.0 wt.% of mineral oil or white soft paraffin, and the balance in purified water; and, applying the lotion to the skin having the skin condition. Preferably, the lotion has a 2-hour mean blanching score of at least about 2.1, an AUC of at least about 26.7, and an average mean blanching of at least about 1.5. The lotion of the present invention has the added benefit of being chemically and physically stable for at least 6 months at 40°C.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fluticasone or a pharmaceutically acceptable salt or ester thereof, preferably fluticasone propionate, is present in the formulation in a concentration of from about 0.005 to 1.0 wt.% preferably 0.005 to 0.5 wt.%, and more preferably about 0.005 to about 0.1 wt.%. The C<sub>14</sub>-C<sub>20</sub> fatty alcohol or mixtures thereof are present in the formulation as a thickener and/or stabilizer. Examples include, but are not limited to, cetyl alcohol, stearyl alcohol, and cetostearyl alcohol. The C<sub>14</sub>-C<sub>20</sub> fatty alcohol is present in a concentration in the range of from about 1.0 to 10.0 wt.%, preferably about 3.0 to 7.0 wt.%, and more preferably about 4.0 to 6.0 wt.%.

Conventional skin conditioning agents, such as emollient skin conditioning agents, may be present in the lotion of the present invention. Skin conditioning agents are defined in the CTFA (Cosmetic Toiletry and Fragrance Association) Cosmetic Ingredient Handbook (2nd ed. 1992) and the Handbook of Pharmaceutical Excipients (2nd ed. 1994). Preferred examples of such skin conditioning agents include, but are not limited to, cholesterol, glycerine, glycerol monostearate, isopropyl myristate and palmitate, and lanolin alcohols, or mixtures thereof. Particular examples are isopropyl myristate and cetostearyl alcohol. The skin conditioning agent is present in a concentration in the range of from about 1.0 to 5.0 wt.%, preferably about 1.0 to 3.0 wt.%, and more preferably about 1.0 to 2.0 wt.%. In a preferred embodiment, dimethicone is employed in connection with at least one skin conditioning agent. The concentration of dimethicone in the formulation may be up to about 5.0 wt.%, preferably about 0.5 to 3.0 wt.% and more preferably about 1.0 to 2.0 wt.% of the lotion composition.

At least one conventional surfactants may be used in topical formulations to form the oil-in-water emulsion lotion of the present invention. For example, the surfactants may include, but are not limited to, polyoxyalkene oxides of C<sub>14</sub>-C<sub>20</sub> fatty alcohols and polyoxyalkylene sorbitan esters, or mixtures thereof. Preferred surfactants include CETOMACROGOL® 1000 (Crodor Inc.), CETETH-20®, TWEEN® 40 or BRIG® 78. The surfactant may be present in a concentration in the range of about 0.25 to 3.0 wt.%, preferably about 0.5 to 2.0 wt.%, and more preferably about 0.75 to 1.5 wt.%.

Optionally, mineral oil or white soft paraffin are incorporated into the lotion in relatively small amounts to act as a skin conditioner. The lotion may also be free of mineral oil and/or white soft paraffin or contain up to about 10.0 wt.%. The lotion may also contain up to about 5.0 wt.% or up to about 2.0 wt.% skin conditioner.

Propylene glycol may be present in the lotion formulation in a concentration of from about 5.0 to 15.0 wt.%, preferably about 7.0 to 12.0 wt.% and more preferably 9.0 to 11.0 wt.%.

The viscosity of the fluticasone lotion may be in the range of about 2,000 to 17,000 centipoise (cps), and preferably about 3,000 to 13,000 cps, as measured by a Brookfield viscometer fitted with a #27 spindle at 10 rpm at 25°C.

The pH range of the topical fluticasone lotion may be in the range of about 4 to 7. Conventional buffers may be employed in the lotion formulation to achieve the pH range. The buffers include, but are not limited to, sodium citrate/citric acid, dibasic sodium phosphate/citric acid, and the like.

Optionally, conventional preservatives may be used in the present invention. Preferably, preservatives employed in the formulation should pass US Pharmacopoeia, British Pharmacopoeia and European Pharmacopoeia standards. Preferred preservatives include, but are not limited to, imidurea, methylparaben, propylparaben and the like, and combinations thereof.

Treatment of skin conditions with the lotion of the present invention is accomplished by applying the lotion to the affected areas to be treated. The treatment regimen is varied

from patient to patient and condition to condition. In general, the fluticasone lotion is to be applied once or twice a day to a treatment area. Preferably, the lotion of the present invention is used to treat atopic dermatitis, inflammatory and pruritic manifestations and corticosteroid-responsive dermatoses.

The lotion of the present invention is manufactured in a conventional manner by mixing the ingredients at elevated temperatures (such as from 45-80°C) and then cooling the mixture to achieve a smooth, homogeneous oil-in-water emulsion.

The following examples merely illustrate the lotion compositions of the invention and are not to be construed as limiting the scope of the invention. Unless indicated otherwise, all weight percentages are based on the total weight of the composition.

### EXAMPLES

#### Example 1

A topical 0.05 wt.% fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

	<u>Ingredient</u>	<u>(wt.%)</u>
20	Cetostearyl alcohol, NF	5.00
	Isopropyl myristate, NF	1.00
	Dimethicone 360, NF	1.00
	Cetomacrogol 1000, BP	1.00
25	Propylene glycol, USP	10.00
	Imidurea, NF	0.30
	Methyl paraben, USP	0.20
	Propyl paraben, USP	0.10
	Citric acid (anhydrous), USP	0.05
30	Sodium citrate, USP	0.08
	Purified water, USP	balance

Example 2

A topical 0.05 wt.% fluticasone propionate lotion formulation in accordance with the present invention was prepared having the following composition.

5	<u>Ingredient</u>	<u>(wt.%)</u>
	Cetostearyl alcohol, NF	5.25
	Isopropyl myristate, NF	2.00
	Propylene glycol, USP	0.00
	Ceteth-20	0.75
10	Imidurea, NF	0.20
	Methyl paraben, USP	0.20
	Propyl paraben, USP	0.10
	Citric Acid (anhydrous)	0.05
	Dibasic sodium phosphate	0.06
15	Purified water, USP	balance

Example 3

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

20	<u>Ingredient</u>	<u>(wt.%)</u>
	Fluticasone Propionate	0.05
	Cetostearyl Alcohol	5.0
	Mineral Oil	3.0
25	Isopropyl myristate	3.0
	Ceteth-20	0.75
	Propylene Glycol	0.0
	Citric Acid (anhydrous)	0.05
	Dibasic Sodium Phosphate	0.06
30	Imidurea	0.20
	Water	balance

Example 4

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

5	<u>Ingredient</u>	<u>(wt.%)</u>
	Fluticasone Propionate	0.05
	Cetostearyl Alcohol	5.25
	Mineral Oil	1.0
	Isopropyl myristate	1.0
10	Ceteth-20	0.75
	Propylene Glycol	10.0
	Citric Acid (anhydrous)	0.05
	Dibasic Sodium Phosphate	0.06
	Imidurea	0.20
15	Water	balance

Example 5

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

20	<u>Ingredient</u>	<u>(wt.%)</u>
	Fluticasone Propionate	0.05
	Cetostearyl Alcohol	5.0
	Mineral Oil	10.0
25	Isopropyl myristate	5.0
	Ceteth-20	0.75
	Propylene Glycol	10.0
	Citric Acid (anhydrous)	0.05
	Dibasic Sodium Phosphate	0.06
30	Imidurea	0.20
	Water	balance

Example 6

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.05
Cetostearyl Alcohol	7.0
Isopropyl myristate	2.5
Dimethicone	2.5
Cetomacrogol 1000	1.0
Propylene Glycol	10.0
Citric Acid (anhydrous)	0.05
Sodium Citrate	0.075
Imidurea	0.30
Water	balance

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Example 7

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.05
Cetostearyl Alcohol	7.0
Isopropyl myristate	5.0
Dimethicone	2.5
Cetomacrogol 1000	1.0
Propylene Glycol	10.0
Citric Acid (anhydrous)	0.05
Sodium Citrate	0.075
Imidurea	0.30
Water	balance

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Example 8

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.05
Cetostearyl Alcohol	6.0
Isopropyl myristate	2.0
Cetomacrogol 1000	1.0
Propylene Glycol	10.0
Citric Acid (anhydrous)	0.05
Sodium Citrate	0.075
Imidurea	0.30
Water	balance

Example 9

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

20

<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.05
Cetostearyl Alcohol	4.7
Isopropyl myristate	3.75
Dimethicone	3.75
Cetomacrogol 1000	1.0
Propylene Glycol	10.0
Citric Acid (anhydrous)	0.05
Sodium Citrate	0.075
Imidurea	0.30
Water	balance

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Example 10

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.05
Cetostearyl Alcohol	2.4
Isopropyl myristate	2.5
Dimethicone	5.0
Cetomacrogol 1000	1.0
Propylene Glycol	10.0
Citric Acid (anhydrous)	0.05
Sodium Citrate	0.075
Imidurea	0.30
Water	balance

Example 11

A topical fluticasone propionate lotion in accordance with the present invention is prepared having the following composition.

<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.01
Stearyl Alcohol	5.0
Isopropyl myristate	3.0
Dimethicone	3.0
Ceteth-20	0.75
Propylene Glycol	5.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance



Example 12

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.01
Stearyl Alcohol	2.5
Mineral Oil	1.0
Isopropyl myristate	1.0
Dimethicone	1.0
Cetomacrogol 1000	0.5
Propylene Glycol	15.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

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Example 13

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.1
Cetyl Alcohol	7.0
Mineral Oil	2.0
Isopropyl myristate	2.0
Dimethicone	2.0
Cetomacrogol 1000	1.5
Propylene Glycol	10.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

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Example 14

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.1
Stearyl Alcohol	7.0
Mineral Oil	2.5
Dimethicone	2.5
Ceteth-20	1.0
Propylene Glycol	15.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

Example 15

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.1
Cetostearyl Alcohol	5.0
Mineral Oil	2.5
Dimethicone	1.0
Tween®40	0.5
Propylene Glycol	10.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

Example 16

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt. %)</u>
Fluticasone Propionate	0.1
Stearyl Alcohol	5.25
Mineral Oil	5.0
Brig®78	2.0
Propylene Glycol	5.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

Example 17

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

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<u>Ingredient</u>	<u>(wt. %)</u>
Fluticasone Propionate	0.05
Cetyl Alcohol	2.0
Isopropyl myristate	5.0
Cetomacrogol 1000	0.5
Propylene Glycol	10.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

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Example 18

A topical fluticasone propionate lotion in accordance with the present invention was prepared having the following composition.

<u>Ingredient</u>	<u>(wt.%)</u>
Fluticasone Propionate	0.05
Cetyl Alcohol	2.5
Dimethicone	5.0
Cetomacrogol 1000	1.0
Propylene Glycol	10.0
Imidurea, NF	0.20
Methyl paraben, USP	0.20
Propyl paraben, USP	0.10
Water	balance

The topical anti-inflammatory activity of fluticasone propionate formulations was measured using a vasoconstriction assay (McKenzie and Stoughton, Arch. Dermatol., 86, 608(1962)).

Approximately 0.1 mL of the drug product of Examples 1-18 were placed on a 2 cm<sup>2</sup> area of the volar aspect of each volunteer's forearm. Application sites were protected with a guard to prevent removal or smearing. The application sites were not occluded. After approximately 16 hours of contact, the protective guards were removed and the sites gently washed and dried.

Skin vasoconstrictor evaluations were performed on a 4 point scale (0 [no blanching]-3[marked blanching]) at time points corresponding to 2, 3, 6, 8, and 24 hours after drug removal. The data were used to calculate the mean blanching response and the area under the curve (AUC) for the blanching versus time. The higher the score, mean or area under the curve (AUC), the more topically potent. The results are tabulated in Table 1.

Table 1

Measure*	Lotion Example 1	Lotion Example 2	CUTIVATE® (Fluticasone propionate) Cream Comparative Example
AUC	28.4	26.7	21.4
Mean	1.58	1.49	1.22

\*Results from 17 volunteers.

The fluticasone lotions of the present invention show higher vasoconstriction scores than fluticasone cream. As shown by the 17 patient data set, the vasoconstriction potency of the fluticasone lotions is greater than the cream.

The fluticasone lotion of the present invention has proven to be unexpectedly superior in terms of efficacy and safety. Evaluations were performed using the Vasoconstrictor Assay. Evaluations also used a human model to predict clinical potency of corticosteroids in (1) controlled efficacy and safety trials and (2) subjects with a corticosteroid-responsive dermatosis, atopic dermatitis. Safety and efficacy evaluations were performed on the fluticasone lotion 0.05% by applying the lotion extensively to all body regions: head and neck (including face), trunk, upper limbs and lower limbs.

The potency of the fluticasone lotion, as determined by the Vasoconstrictor Assay, was greater than mid-potency fluticasone cream (CUTIVATE™ Cream). The potency of the fluticasone lotion was less than the high-potency corticosteroid preparations. Application of the lotion formulation over 4 weeks resulted in a superior adverse event profile devoid of commonly encountered side effects encountered using corticosteroids in the mid-to-high potency range.

The instant fluticasone lotion was assessed in view of projected efficacy outcomes from the Vasoconstrictor Assay (VC Assay) in humans and corroborated by efficacy outcomes in multicenter vehicle-controlled clinical trials. It was highly desirable for the lotion formulation to show both systemic (adrenal axis suppression) and local (atrophogenic) responses to corticosteroids. The fluticasone lotion was unexpectedly

superior in both categories, and particularly superior in that no atrophy was observed (based on associated signs) even in the more susceptible region (i.e., the face, head and neck).

- 5 The Vasoconstrictor Assay (VC Assay; McKenzie and Stoughton) is a standard dermatological assay used to predict the potency of corticosteroid formulations. Potency is related to both side effect potential and efficacy in the treatment of mild to severe dermatoses. Reactions of particular concern include skin thinning (atrophy, including telangiectasia), and adrenal axis suppression, which can occur more often (1) under occlusions or (2) when higher potency corticosteroids are employed.

In the VC assay, fluticasone lotion 0.05% was compared to low-potency (HYTONE™ Lotion), mid-potency (CUTIVATE™ Cream; and fluticasone cream 0.05%) and high-potency (TEMOVATE™ Cream; ELOCON™ Lotion). Potency was estimated for two subject populations (Intent to Treat and Positive responders) and includes 3 outcome assessments: 2-hour mean blanching score, are under the time-blanching score curve (AUC) and Average mean blanching from 5 timepoints. The results from the "responder" population is summarised in Table 2.

Table 2

Treatment	Potency	Responder Population		
		2 hour score	AUC	Avg. mean blanching
TEMOVATE™	High	2.7	36.6	2.0
ELOCON™	High	2.2	33.4	1.8
Fluticasone lotion (0.05%)	Mid to High	2.1	26.7	1.5
CUTIVATE™ Cream	Mid	1.8	21.4	1.2
HYTONE™ Lotion	Low	0.8	9.5	0.6

The results show that the fluticasone lotion of the present invention has an unexpectedly high potency for a lotion-based composition.

In addition, as shown in Table 3, criticality for the presence of fluticasone in the lotion of the present invention was established by the comparison between applying the vehicle alone (the fluticasone lotion minus the fluticasone propionate) and the fluticasone lotion. The FPL10005, FPL3003 and FPL30004 studies used the following fluticasone 0.05% lotion formulation.

<u>Ingredient</u>	<u>(wt.%)</u>
fluticasone propionate (micronized)	0.05
cetostearyl alcohol, NF	5.0
isopropyl myristate, NF	1.0
dimethicone 360, NF	1.0
polyoxyethylene (20) cetostearyl ether, NF	1.0
propylene glycol, USP	10.0
imidurea, NF	0.14
methylparaben, NF	0.17
propylparaben, NF	0.06
citric acid (hydrous), USP	0.05
sodium citrate, USP	0.08
purified water, USP	balance (also QSAD)

Table 3

Study	Diagnosis	Application	No. subjects	Outcome Good to cleared(%)
FPL30003	Atopic	QD for up to	FPL (110)	FPL (78%)*
	Dermatitis	4 weeks	Veh. (110)	Veh. (33%)
FPL30004	Atopic	QD for up to	FPL (111)	FPL (68%)*
	Dermatitis	4 weeks	Veh. (107)	Veh. (28%)

\* subjects showing > 50% clearing of lesions

"Veh." is vehicle only formulation

The data of Table 3 show that the fluticasone lotion is more than twice as effective as the vehicle. In a once-a-day application, the differences (%) between the vehicle-only and the fluticasone lotion are 40% and 45% (FPL30004 and FPL30003, respectively). The advantage of the fluticasone propionate lotion over the vehicle control was unexpectedly superior. It is worth noting that the fluticasone lotion application rate was half the preferred application rate of twice per day.

Systemic safety of fluticasone lotion (study FPL10005) was assessed utilising the measurement of adrenal responsiveness to a challenge of cosyntropin (ACTH<sub>1-28</sub>) and measuring the plasma levels of cortisol both before and 30 minutes after ACTH challenge. HPA axis was considered suppressed if the cortisol response to the challenge was less than 18 ug/dL. These studies were conducted in paediatric populations from 3 months to 5 years of age. Because children have a high ratio of body mass to surface, that population is considered to be more at risk than adults.

In these studies fluticasone formulations were tested following a 3 or 4 week course of twice daily application of the fluticasone lotion to at least 35% of the body surface area in subjects with moderate to severe eczema. The results are summarised in Table 4.

Table 4

Cortisol responses - plasma levels  $\leq$  18 ug/dL indicate suppression

Study	Preparation	Adrenal Responsiveness, #suppressed/total
FPL10005	Lotion	0 / 42

These data show that the fluticasone lotion did not suppress the adrenal responsiveness to ACTH stimulation. CUTIVATE™ lotion produced low adrenal suppression as evaluated by the cosyntropin (ACTH<sub>1-28</sub>) stimulation test in paediatric subjects. This age group would be expected to be the most susceptible to side effects of corticosteroids. No adrenal suppression was noted for CUTIVATE™ lotion. These results were unexpectedly superior based on potency estimates from the VC Assay.



Treating skin diseases with topical corticosteroids is of particular concern where the skin is thin (e.g., the face) due to the potential atrophy side effect. Skin atrophy and atrophy-associated signs (such as telangiectasia) were monitored in safety studies (HPA Axis Suppression) and efficacy (multicenter pivotal trials). The fluticasone lotion showed no atrophy-associated changes (see Table 4). In addition, atrophogenic potential was assessed in two large multicenter trials (FPL30003, N= 110 treated with fluticasone); FPL30004; N= 111 treated with fluticasone). The subjects had moderate-to-severe atopic dermatitis. After once daily administration for up to 4 weeks, no atrophy or associated signs were ascribed to drug treatment.

Based on the observed outcomes in the VC Assay (used to predict clinical potency), it was expected (1) that the therapeutic benefit would be only slightly more than that for CUTIVATE™ Cream and (2) that the side effects would reflect those observed for CUTIVATE™ Cream. The results were unexpected in that the lotion formulation was more effective than, and superior to, the cream. At half the application rate of fluticasone lotion, a lack of side effects were observed. That observation was unexpected since application of steroids of similar potency typically cause some side effects. As noted herein for the lotion, the lack of both systemic (HPA Axis suppression) and local side effects, even to sensitive areas such as the face (head and neck region) was unexpected.

It will be apparent to those skilled in the art that many modifications and equivalents thereof may be made without departing from the spirit and scope of the invention as set forth in the appended claims.

We claim:

1. A topical lotion comprising:

about 0.005 to 1.0 wt.% fluticasone, or a pharmaceutically acceptable salt or ester thereof;

about 1.0 to 10.0 wt.% of a C<sub>14</sub>-C<sub>20</sub> fatty alcohol or mixtures thereof;

about 1.0 to 5.0 wt.% of at least one skin conditioning agent;

about 5.0 to 15.0 wt.% propylene glycol;

up to about 10.0 wt.% mineral oil or white soft paraffin; and

the balance in water.

2. A topical lotion comprising:

about 0.005 to 1.0 wt.% fluticasone propionate;

about 3.0 to 7.0 wt.% of a C<sub>14</sub>-C<sub>20</sub> fatty alcohol, or mixtures thereof;

about 0.5 to 3.0 wt.% of at least one skin conditioning agent;

about 0.25 to 2.0 wt.% of at least one surfactant;

about 7.0 to 12.0 wt.% propylene glycol;

up to about 10 wt.% of mineral oil or white soft paraffin; and

the balance in water.

3. The lotion according to claim 1, further comprising less than about 5.0 wt.% dimethicone.

4. The lotion according to claim 2, further comprising less than about 5.0 wt.% dimethicone.

5. The lotion according to claim 1, wherein said pharmaceutically acceptable ester of fluticasone is fluticasone propionate.

6. The lotion according to claim 1, comprising:

about 0.05 wt.% fluticasone propionate,

about 5.0 wt.% cetostearyl alcohol,

about 1.0 wt.% isopropyl myristate,

about 1.0 wt.% dimethicone,

about 1.0 wt.% cetomacrogol,

about 10.0 wt.% propylene glycol  
less than about 0.30 wt.% imidurea,  
less than about 0.20 wt.% methyl paraben,  
less than about 0.10 wt.% propyl paraben,  
5 about 0.05 wt.% citric acid (anhydrous),  
about 0.08 wt.% sodium citrate, and  
the balance in purified water.

7. The lotion according to claim 1, comprising:

10 about 0.05 wt.% fluticasone propionate,  
about 5.25 wt.% cetostearyl alcohol,  
about 2.0 wt.% isopropyl myristate,  
about 10.0 wt.% propylene glycol,  
about 0.20 wt.% imidurea,  
15 about 0.20 wt.% methyl paraben,  
about 0.10 wt.% propyl paraben, and  
the balance in purified water.

8. The lotion according to claim 1, having a viscosity of about 2,000 to 17,000 cps  
as measured by a Brookfield viscometer fitted with a #27 spindle at 10 rpm at 25°C.

9. The lotion according to claim 2, having the formula

25 about 5.25 wt.% cetostearyl alcohol,  
about 2.0 wt.% isopropyl myristate,  
about 10.0 wt.% propylene glycol,  
about 0.20 wt.% imidurea,  
about 0.20 wt.% methyl paraben,  
about 0.10 wt.% propyl paraben, and  
the balance in purified water.

30 10. The lotion according to claim 1, having a viscosity of from about 3,000 to  
13,000 cps as measured by a Brookfield viscometer fitted with a #27 spindle at 10 rpm  
at 25°C

11. The lotion according to claim 2, having a viscosity of from about 3,000 to 13,000 cps as measured by a Brookfield viscometer fitted with a #27 spindle at 10 rpm at 25°C.

5 12. The lotion according to claim 1, free of mineral oil or white soft paraffin.

13. The lotion according to claim 2, free of mineral oil or white soft paraffin.

10 14. Use of the lotion according to claim 1 to increase the vasoconstrictor potency of fluticasone.

15 15. Use of the lotion according to claim 2 to increase the vasoconstrictor potency of fluticasone propionate.

16. A process for preparing a lotion according to claim 1, comprising: mixing the ingredients recited in claim 1 at an elevated temperature; and cooling said mixture.

17. A process for preparing a lotion according to claim 1, comprising: mixing the ingredients recited in claim 1 at an elevated temperature; and heating said mixture.

18. A topical lotion comprising:  
about 0.005 to about 1.0 wt.% fluticasone, or a pharmaceutically acceptable salt or ester thereof;  
a thickening effective concentration of at least one thickener;  
a conditioning effective concentration of at least one skin conditioning agent;  
an emulsifying effective amount of a surfactant, and  
the balance in water.

19. The lotion of claim 18, wherein the lotion has a 2-hour mean blanching score of at least about 2.1, an AUC of at least about 26.7, and an average mean blanching of at least about 1.5.

20. The lotion of claim 18, wherein the lotion is chemically and physically stable for at least 6 months at 40°C.

21. A method of treating a skin condition comprising:

- 5 providing a lotion including about 0.005 to about 1.0 wt.% fluticasone, or a pharmaceutically acceptable salt or ester thereof; about 1.0 to about 10.0 wt.% of a C<sub>14</sub>-C<sub>20</sub> fatty alcohol or mixtures thereof; about 1.0 to about 5.0 wt.% of at least one skin conditioning agents; about 5.0 to about 15.0 wt.% of propylene glycol; less than about 10.0 wt.% of mineral oil or white soft paraffin, and the balance in water; and,  
10 applying the lotion to the skin having the skin condition.

22. The method of claim 21, wherein the skin condition is corticosteroid-responsive dermatosis, atopic dermatitis, inflammation, eczema, erythema, papulation, scaling, erosion, oozing, crusting or pruritis.

23. The topical lotion of claim 21, wherein the lotion has a 2-hour mean blanching score of at least about 2.1, an AUC of at least about 26.7, and an average mean blanching of at least about 1.5.

24. The lotion of claim 21, wherein the lotion is chemically and physically stable for at least 6 months at 40°C.

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Karen L. Prue	Reg. No. 39,337	Frank P. Grassler	Reg. No. 31,164		
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3	RESIDENCE & CITIZENSHIP CITY <b>Durham</b> POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	STATE OR FOREIGN COUNTRY <b>NC</b> CITY <b>Research Triangle Park</b>	COUNTRY OF CITIZENSHIP <b>US</b> STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>	



## DECLARATION FOR "371" APPLICATION

**COMBINED DECLARATION FOR UTILITY or DESIGN  
PATENT APPLICATION WITH POWER OF ATTORNEY** ContinuedATTORNEY'S DOCKET NUMBER  
PU3556USW

I hereby claim the benefit under 35, U.S.C. §120 of any United States application or §365(c) of any PCT international application designating the United States of America that is listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

**PRIOR U.S. PARENT APPLICATION or PCT PARENT APPLICATION**

STATUS (Check one)

U.S. Parent Application or PCT Parent  
NumberParent Filing Date  
(MM/DD/YYYY)

PATENTED

PENDING

ABANDONED

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. (List name and registration number)

David J. Levy

Reg. No. 27,655

James P. Riek

Reg. No. 39,009

Bonnie L. Deppenbrock Reg. No. 28,209

Charles E. Dadsweil

Reg. No. 35,851

Virginia C. Bennett

Reg. No. 37,092

John L. Lenanowicz Reg. No. 37,360

Karen L. Prus

Reg. No. 39,337

Frank P. Grassler

Reg. No. 31,164

Robert H. Brink

Reg. No. 36,094

Christopher P. Rogers

Reg. No. 36,334

Elizabeth Selby

Reg. No. 38,298

Lorie Ann Morgan

Reg. No. 38,181

## Send Correspondence to:

David J. Levy, Patent Counsel  
Global Intellectual Property Department  
Glaxo Wellcome Inc.  
Five Moore Drive, PO Box 13398  
Research Triangle Park, NC 27709



Direct Telephone Calls to

Christopher P. Rogers  
919-483-1240

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1	FULL NAME OF INVENTOR <b>INVENTOR'S SIGNATURE</b>	FAMILY NAME <b>DOW</b>	FIRST GIVEN NAME <b>Gordon</b>	SECOND GIVEN NAME/INITIAL <b>J.</b>
0	RESIDENCE & CITIZENSHIP	CITY <b>Petaluma</b>	STATE OR FOREIGN COUNTRY <b>CA</b>	COUNTRY OF CITIZENSHIP <b>US</b>
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>Dow Pharmaceutical Science 1330A Redwoodway</b>		CITY <b>Petaluma</b> STATE & ZIP CODE/COUNTRY <b>CA 94954, US</b>
2	FULL NAME OF INVENTOR <b>INVENTOR'S SIGNATURE</b>	FAMILY NAME <b>JOHNSON</b>	FIRST GIVEN NAME <b>Keith</b>	SECOND GIVEN NAME/INITIAL <b>Arthur</b>
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>		CITY <b>Research Triangle Park</b> STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>
3	FULL NAME OF INVENTOR <b>INVENTOR'S SIGNATURE</b>	FAMILY NAME <b>KELLY</b>	FIRST GIVEN NAME <b>Frances</b>	SECOND GIVEN NAME/INITIAL <b>Furr</b>
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
3	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>		CITY <b>Research Triangle Park</b> STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>

X April 18, 2001

## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY				ATTORNEY'S DOCKET NUMBER PU3556USW	
				Continued	
	FULL NAME OF INVENTOR	FAMILY NAME <b>LATHROP</b>	FIRST GIVEN NAME <b>Robert</b>	SECOND GIVEN NAME/INITIAL <b>William</b>	
4	INVENTOR'S SIGNATURE	<i>R. Lathrop</i>	<i>Robert</i>	<i>William 4/6/2001</i>	
0	RESIDENCE & CITIZENSHIP	CITY <b>Fort Collins</b>	STATE OR FOREIGN COUNTRY <b>CO</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
4	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>	
2	FULL NAME OF INVENTOR	FAMILY NAME <b>RAJAGOPALAN</b>	FIRST GIVEN NAME <b>Rukmini</b>	SECOND GIVEN NAME/INITIAL	
0	INVENTOR'S SIGNATURE				
	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
5	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>	

**COMBINED DECLARATION FOR UTILITY or DESIGN  
PATENT APPLICATION WITH POWER OF ATTORNEY** Continued

ATTORNEY'S DOCKET NUMBER  
PU3556USW

PATENT APPLICATION WITH PRIORITY CLAIM		PRIORITY CLAIM		
	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
2	INVENTOR'S SIGNATURE	LATHROP	Robert	William
0	RESIDENCE & CITIZENSHIP	CITY Fort Collins	STATE OR FOREIGN COUNTRY CO	COUNTRY OF CITIZENSHIP US
4	POST OFFICE ADDRESS	POST OFFICE ADDRESS GlaxoSmithKline Five Moore Drive, PO Box 13398	CITY Research Triangle Park	STATE & ZIP CODE/COUNTRY NC 27709, US
5	FULL NAME OF INVENTOR	FAMILY NAME RAJAGOPALAN	FIRST GIVEN NAME Rukmini	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE	Rajagopalan		X 10 APR 2001
0	RESIDENCE & CITIZENSHIP	CITY Durham	STATE OR FOREIGN COUNTRY NC	COUNTRY OF CITIZENSHIP US
5	POST OFFICE ADDRESS	POST OFFICE ADDRESS GlaxoSmithKline Five Moore Drive, PO Box 13398	CITY Research Triangle Park	STATE & ZIP CODE/COUNTRY NC 27709, US

## DECLARATION FOR "371" APPLICATION

**COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT  
APPLICATION WITH POWER OF ATTORNEY**ATTORNEY'S DOCKET  
PU3556USWFirst Names Inventor:  
**Gordon J. DOW****Complete if known:**  
App No.:

Filing Date

Group Art Unit:

(X) Declaration submitted with initial filing or

( ) Declaration submitted after initial filing (surcharge required 37CFR1.16(e))

As below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**FLUTICASONE LOTION HAVING IMPROVED VASOCONSTRICTOR ACTIVITY**

the specification of which (check only one item below):

[ ] is attached hereto.

OR

[ x ] was filed on **20 October 1999** as United States application Serial No. \_\_\_\_\_ or PCT InternationalApplication Number **PCT/GB99/03472** filed and was amended on (MM/DD/YYYY) \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35, U.S.C. §119 (a)-(d) or §365(b) of any foreign applications(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY)	PRIORITY CLAIMED
1. 9823036.0	GB	22 October 1998	X
2.			
3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)
1.	
2.	
3.	
4.	
5.	

## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY or DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY				ATTORNEY'S DOCKET NUMBER PU3556USW
Continued				
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
	INVENTOR'S SIGNATURE	LATHROP	Robert	William
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Fort Collins	CO	US
4	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		GlaxoSmithKline Five Moore Drive, PO Box 13398	Research Triangle Park	NC 27709, US
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
	INVENTOR'S SIGNATURE	RAJAGOPALAN	Rukmini	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Durham	NC	US
5	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		GlaxoSmithKline Five Moore Drive, PO Box 13398	Research Triangle Park	NC 27709, US

0030037-042001

## DECLARATION FOR "371" APPLICATION

**COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY**ATTORNEY'S DOCKET  
PU3556USWFirst Names Inventor:  
**Gordon J. DOW****Complete if known:**  
App No.:

Filing Date

Group Art Unit:

(X) Declaration submitted with initial filing or

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**FLUTICASONE LOTION HAVING IMPROVED VASOCONSTRICTOR ACTIVITY**  
the specification of which (check only one item below):

[ ] is attached hereto.

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1. 9823036.0	GB	22 October 1998	X
2.			
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Application No.	Filing Date (MM/DD/YYYY)
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## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY or DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY					ATTORNEY'S DOCKET NUMBER PU3556USW
					Continued
2	FULL NAME OF INVENTOR	FAMILY NAME <b>LATHROP</b>	FIRST GIVEN NAME <b>Robert</b>	SECOND GIVEN NAME/INITIAL <b>William</b>	
0	INVENTOR'S SIGNATURE				
	RESIDENCE & CITIZENSHIP	CITY <b>Fort Collins</b>	STATE OR FOREIGN COUNTRY <b>CO</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
4	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>	
2	FULL NAME OF INVENTOR	FAMILY NAME <b>RAJAGOPALAN</b>	FIRST GIVEN NAME <b>Rukmini</b>	SECOND GIVEN NAME/INITIAL	
0	INVENTOR'S SIGNATURE				
	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
5	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>	

[illegible]

## DECLARATION FOR "371" APPLICATION

**COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT  
APPLICATION WITH POWER OF ATTORNEY**ATTORNEY'S DOCKET  
PU3556USWFirst Names Inventor:  
Gordon J. DOWComplete if known:  
App No.:

Filing Date

Group Art Unit:

(X) Declaration submitted with initial filing or

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☐ is attached hereto.

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1. 9823036.0	GB	22 October 1998	X
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3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)
1.	
2.	
3.	
4.	
5.	

0963037-04E001



## DECLARATION FOR "371" APPLICATION

<b>COMBINED DECLARATION FOR UTILITY or DESIGN</b>				ATTORNEY'S DOCKET NUMBER <b>PU3556USW</b>
<b>PATENT APPLICATION WITH POWER OF ATTORNEY</b>				Continued
2	FULL NAME OF INVENTOR <b>INVENTOR'S SIGNATURE</b>	FAMILY NAME <b>LATHROP</b>	FIRST GIVEN NAME <b>Robert</b>	SECOND GIVEN NAME/INITIAL <b>William</b>
0	RESIDENCE & CITIZENSHIP	CITY <b>Fort Collins</b>	STATE OR FOREIGN COUNTRY <b>CO</b>	COUNTRY OF CITIZENSHIP <b>US</b>
4	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>
2	FULL NAME OF INVENTOR <b>INVENTOR'S SIGNATURE</b>	FAMILY NAME <b>RAJAGOPALAN</b>	FIRST GIVEN NAME <b>Rukmini</b>	SECOND GIVEN NAME/INITIAL
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
5	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709, US</b>

09330637 042001

## DECLARATION FOR "371" APPLICATION

**COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY**ATTORNEY'S DOCKET  
PU3556USWFirst Names Inventor:  
**Gordon J. DOW****Complete if known:**  
App No.:

Filing Date

Group Art Unit:

(X) Declaration submitted with initial filing or

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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the specification of which (check only one item below):

☐ [ ] is attached hereto.

OR

☒ [ x ] was filed on **20 October 1999** as United States application Serial No. \_\_\_\_\_ or PCT InternationalApplication Number **PCT/GB99/03472** filed and was amended on (MM/DD/YYYY) \_\_\_\_\_ (if applicable)

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**PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY)	PRIORITY CLAIMED
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2.			
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I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)
1.	
2.	
3.	
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5.	

## DECLARATION FOR "371" APPLICATION

<b>COMBINED DECLARATION FOR UTILITY or DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY</b> Contin. rd				ATTORNEY'S DOCKET NUMBER <b>PU3556USW</b>	
<p>I hereby claim the benefit under 35, U.S.C. §120 of any United States application or §365(c) of any PCT international application designating the United States of America that is listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
<b>PRIOR U.S. PARENT APPLICATION or PCT PARENT APPLICATION</b>					
U.S. Parent Application or PCT Parent Number		Parent Filing Date (MM/DD/YYYY)	STATUS (Check one)		
			PATENTED	PENDING	ABANDONED
<p><b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. (List name and registration number)</p>					
David J. Levy Reg. No. 27,655		James P. Rick Reg. No. 39,009		Bonnie L. Deppenbrock Reg. No. 28,209	
Charles E. Dadsweil Reg. No. 35,851		Virginia C. Bennett Reg. No. 37,092		John L. Lemanowicz Reg. No. 37,386	
Karen L. Prus Reg. No. 39,337		Frank P. Grassler Reg. No. 31,164			
Robert H. Brink Reg. No. 36,094		Christopher P. Rogers Reg. No. 36,334			
Elizabeth Selby Reg. No. 38,298		Lorie Ann Morgan Reg. No. 38,181			
<p>Send Correspondence to:  <b>David J. Levy, Patent Counsel</b>  <b>Global Intellectual Property Department</b>  <b>Glaxo Wellcome Inc.</b>  <b>Five Moore Drive, PO Box 13398</b>  <b>Research Triangle Park, NC 27709</b></p>			 <b>23347</b> <small>PATENT &amp; TRADEMARK OFFICE</small>		
			<p>Direct Telephone Calls to:</p> <p>Christopher P. Rogers 919-483-1240</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p>					
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL	
		<b>DOW</b>	<b>Gordon</b>	<b>J.</b>	
0	INVENTOR'S SIGNATURE				
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
		<b>Petaluma</b>	<b>CA</b>	<b>US</b>	
1	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY		
	<b>Dow Pharmaceutical Science 1330A Redwoodway</b>	<b>Petaluma</b>	<b>CA, 94954, US</b>		
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL	
		<b>JOHNSON</b>	<b>Keith</b>	<b>Arthur</b>	
0	INVENTOR'S SIGNATURE				
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
		<b>Durham</b>	<b>NC</b>	<b>US</b>	
2	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY		
	<b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	<b>Research Triangle Park</b>	<b>NC 27709, US</b>		
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL	
		<b>KELLY</b>	<b>Frances</b>	<b>Furr</b>	
0	INVENTOR'S SIGNATURE				
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
		<b>Durham</b>	<b>NC</b>	<b>US</b>	
3	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY		
	<b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	<b>Research Triangle Park</b>	<b>NC 27709, US</b>		

# COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY

ATTORNEY'S DOCKET  
PU3556USWFirst Names Inventor:  
**Gordon J. DOW**Complete if known:  
App No.:

Filing Date

Group Art Unit:

(X) Declaration submitted with initial filing or

( ) Declaration submitted after initial filing (surcharge required 37CFR1.16(e))

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the specification of which (check only one item below):

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35, U.S.C. §119 (a)-(d) or §365(b) of any foreign applications(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

## PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY)	PRIORITY CLAIMED
1. 9823036.0	GB	22 October 1998	X
2.			
3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)	
1.		
2.		
3.		
4.		
5.		

